

**The Federal Democratic Republic of Ethiopia
Ministry of Agriculture**

**Additional Finance for the Ethiopian Emergency
Locust Response Project (P P178434)**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

**March, 2022
Addis Ababa, Ethiopia**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Democratic Republic of Ethiopia (the Recipient) will implement the Emergency Locust Response Program- Phase 1 Ethiopia Additional Financing (P178434) (the Project) with the involvement of the Ministry of Agriculture (MoA), as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement and the Project Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, the said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Agriculture and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient, through the Ministry of Agriculture. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health, and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation, and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism, Gender-Based Violence (GBV) response, worker and community health and safety, land acquisition, emergency response, underserved & vulnerable people benefit-sharing, and security management.</p>	<p>The Project Implementation Unit (PIU) shall submit quarterly progress reports (within three months of the Project Effective Date) and annual audit reports (within 30 days of the end of the year) to the Association throughout Project implementation.</p>	<p>Plant Protection Directorate (PPD) and Food Security Coordination Directorate (FSCD).</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injuries such as aircraft crash, pesticide spill, and security incident. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within 15 days after first learning of the incident or accident.</p>	<p>PPD and FSCD.</p>
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractors, supervising firms and UN agencies and/or NGOs to provide monthly monitoring reports to Plant Protection Directorate (PPD) and Food Security Coordination Directorate (FSCD) on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association quarterly</p>	<p>Submit a compiled monitoring report of the contractor and supervision every three months to the Association as annexes to the reports to be submitted under action A above.</p>	<p>PPD and FSCD.</p>

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS				
1.1	ORGANIZATIONAL STRUCTURE	<p>Maintain the project PPD and FSCD implementation units with qualified staff and resources to support the management of ESHS risks and impacts of the Project. Maintain ESHS focal person appointed for existing 156 Project beneficence Woredas and assign ESHS focal persons for newly added 134 project beneficiary woredas (one environmental and one social persons at each Woreda.</p>	<p>Maintain the ESHS specialist under the parent project at the existing 156 ESHS focal personnel throughout Project implementation.</p>	<p>PPD, FSCD and Woredas.</p>
1.2	ENVIRONMENTAL AND SOCIAL INSTRUMENTS	<p>1. Update the Environmental and Social Management Framework (ESMF) for the parent project consistent with the relevant ESSs and FAO locust guideline 6 and 2 to address the risk associated with security, GBV, hazardous wastes, land acquisition, and equitable access to Project benefits, and provide a more detailed methodology or procedure for security risk management, emergency response, GBV response, community and worker health and safety risk response, a project benefit-sharing mechanism for underserved and vulnerable group, and E&S screening.</p> <p>2. Screen any site-specific activities under the Project. Upon conclusion of the screening report, where relevant, prepare site-specific Environmental and Social Management Plan (ESMP), including E&S assessment and mitigation measures, in accordance with the requirements of the project ESMF and ESS requirements and any other applicable subproject EHS requirement set out in this ESCP.</p> <p>3. Exclude the following type of activities as ineligible for financing under the Project:</p>	<p>1. Update the ESMF prior to disbursement for Components 1-3 and thereafter implement the ESMF throughout Project implementation.</p>	<p>PPD and FSCD</p>

	<ul style="list-style-type: none"> • Activities that may cause long term, permanent, and/or irreversible damage (e.g., loss of major natural habitat). No sub-project may be sited in an ecologically fragile and/or protected area. • Activities that have a high likelihood of causing an incident and a serious adverse consequence to worker safety, community health, and safety, and natural resources (e.g., aerial spray). • Activities that may not be aligned with an existing socio-economic condition, community interest, social division and may inflame the existing food insecurity or crises and give rise to social conflict. • Activities that may have an impact on land and the natural resource of indigenous people, and permanently restrict the use of and access to natural resource including other vulnerable, underserved, and disadvantaged groups. • Activities that may involve significant physical resettlement or land acquisition or impacts on cultural heritage. • All the other excluded activities set out in the updated ESMF of the Project. 	<p>2. Adopt site-specific (district-specific) E&S screening and ESMP prior to prior to start of subproject, control campaign, livelihood assistance, and any construction. Once adopted, implement the respective ESMP throughout control and livelihood assist campaign, and construction period.</p> <p>3. During the screening of any site-specific (district-specific) activities.</p>	
1.3	<p>MANAGEMENT OF CONTRACTORS and UN agencies and/or NGOs</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments (including the ESMF and IPMP), the Labor-Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.</p>	PPD and FSCD
1.4	<p>TECHNICAL ASSISTANCE (TA)</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project, including environmental and social instruments to be supported under the TA are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	<p>Throughout Project implementation.</p>	PPD and FSCD.

ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Update the Labor Management Procedures (LMP) from the parent project to ensure consistency with ESS2 and based on FAO locust guideline 6, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH)), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	Update the LMP prior to disbursement for Component 1-3, and thereafter implement the LMP throughout Project implementation.	PPD and FSCD.
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PPD and FSCD
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT.			
3.1	PEST MANAGEMENT Adopt and implement the Integrated Pest Management Plan (IPMP) which was prepared for parent project to manage hazardous and non-hazardous wastes, consistent with ESS3.	Adopt the IPMP prior to appraisal and thereafter implement it throughout Project implementation	PPD and FSCD
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures in ESMPs referred to in action 1.2 of this ESCP. The ESMF which will be updated as specified in 1.2 will be used as a basis for preparation of ESMPs.	On timeframe specified in 1.2 for preparation of ESMPs.	PPD and FSCD
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY Incorporate in the ESMF and ESMPs under action 1.2 above measures to manage traffic and road safety risks that arise from the transportation of pesticide and use of vehicle-mounted pesticide spraying.	On timeframe specified in 1.2	PPD.

4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>1. Assess and manage risks and impacts to the community arising from Project activities including, inter alia, excess use of pesticides during control campaign; behavior of Project workers related to sexual exploitation and abuse; risks of security personnel, etc., and include mitigation measures in the ESMF.</p> <p>2. Update the ESMF to include and implement emergency preparedness measures in case of significant chemical spills or other health and safety-related incidents as part of the updated ESMF.</p> <p>3. Conduct training for the community to heighten awareness of risks associated with spraying and on how to mitigate pesticide risks following the IPMP prior to the commencement of activities.</p>	<p>1. On timeframe specified in 1.2 for ESMF.</p> <p>2. Emergency Response Measures shall be included as part of the updated ESMF on timeframe specified in 1.2.</p> <p>3. Adoption and implementation of the IPMP throughout Project implementation. Training on the IPMP will be conducted prior to spraying chemicals.</p>	PPD and FSCD.
4.3	<p>SEA AND SH RISKS</p> <p>Update and implement a GBV (SEA/SH) Action Plan as part of the ESMF, to assess and manage the risks of GBV (SEA and SH) in accordance with ESS4 and in manner acceptable to the Association.</p>	Update the GBV (SEA/SH) Action Plan prior to disbursement for Component 1-3, and thereafter implement throughout Project implementation.	PPD and FSCD
4.4	<p>SECURITY MANAGEMENT</p> <p>Ensure that any security arrangements for safeguarding storage facility, and for surveillance and control works in remote and conflict affected, and active areas are implemented based on a security risk assessment and Security Management Plan (SMP) in accordance with the ESS4, ESMF, and good international practice, in a manner acceptable to the Association. The SMP shall be prepared based on an assessment of potential risks to the project’s personnel and property and surrounding community, which may require a security response.</p>	Security risk assessment shall be conducted and SMP shall be prepared, in a manner satisfactory to the Association, prior to engaging security forces and thereafter implemented throughout Project implementation.	PPD

	<p>The SMP shall include appropriate mitigation measures to the identified security risks and avoid and mitigate potential impacts of a security incident on the project, local communities, and other parties. The SMP shall also include standards, protocols, and codes of conduct for the selection and assignment of security personnel to the Project, and to screen such personnel to verify that they have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force. Any security personnel deployed in relation to the Project shall be trained prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-military engagement, SEA and SH, and other relevant areas).</p>		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.2	<p>RESETTLEMENT PLANS</p> <p>A. The AF project proposed regional base construction which involve civil works shall adopt and implement a Resettlement Policy Framework (RPF) for the Project, consistent with the requirements of ESS5.</p> <p>B. In accordance with the RPF and ESS5, and in a manner satisfactory to the Association, adopt and implement a resettlement action plan (RAP) for the regional base construction under the Project.</p> <p>C. Unless otherwise agreed by the Association in writing, no construction may take place under the Project other than on the existing premises of plant laboratories. The Association will document an environmental and social due diligence assessment to ensure that these constructions happen in exiting premises and the sites are acquired contemporaneously or in anticipation of the proposed project additional finance.</p>	<p>A. Adopt and implement an RF before disbursement to component 3, and thereafter implement the RF throughout Project implementation.</p> <p>B. Adopt and implement the respective RAP to the satisfaction of the Association, including ensuring that before taking possession of the land and related assets, full compensation has been provided and as applicable displaced people have been resettled and moving allowances have been provided.</p>	PPD and FSCD

		C. Document an Environment and Social Due diligence before start of construction activities for base construction.	
5.3	Grievance redress mechanism. Any grievances related to the proposed construction sites shall be handled through the project GRM.	D. The GRM shall be established as part of the RF preparation.	
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS Adopt and implement relevant aspects of this standard while preparing site specific ESMPs required under action 1.2 of this ESCP and the IPMP to ensure that areas that are ecologically and agronomically important, or particularly sensitive areas that have limited resistance to impacts of pesticides including National parks; nature reserves; internationally protected areas; important (inland) fisheries areas; forests; important fruit-growing areas; beekeeping areas , etc will not adversely affected	On timeframes specified in 1.2 and 3.1.	PPD and FSCD
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	INDIGENOUS PEOPLES PLANNING FRAMEWORK Update the parent project Social Assessment to incorporate a benefit-sharing mechanism for historically underserved people and vulnerable groups and implement an agreed up on project benefit-sharing mechanism consistent with the updated ESMF, and social assessment.	Update the Social Assessment prior to disbursement for Component 1-3, and thereafter implement throughout Project implementation.	PPD and FSCD
ESS 8: CULTURAL HERITAGE.			
8.1	Adopt and implement measures to avoid risks on cultural heritages as part of the ESMF in consistent with ESS8. In case valuable artefacts or culturally valuable materials are impacted develop a mitigation measure and include it in in the ESMF and ensure relevant workers are trained in the requirements of the identification and notification procedures to cultural heritage.	Adopt and implement measures as part of the ESMF and thereafter implement the measures throughout Project implementation.	PPD and FSCD
ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs).]			
9.1	Not relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION.</p> <p>1. Update and disclose the parent project Stakeholder Engagement Plan (SEP) using (i) stakeholder consultations conducted for the parent project, disaggregated by gender, issues raised, agreements reached and any deviations, (ii) update the identified stakeholders and methods of engagement (based on what has worked and stakeholders of the parent project), (iii) consultations conducted for the preparation of the Project, and (iv) What are the issues raised, concerns and recommendations from the parent project implementation experience. This has helped to include an inclusive and meaningful consultation with stakeholders and accessible to all Project-affected parties, consistent with ESS10.</p> <p>2. Implement the updated SEP for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	<p>Update and prepare an addendum to the parent project (P173702) SEP prior to appraisal, and thereafter implement throughout Project implementation Prior to project appraisal and thereafter implemented throughout Project implementation.</p>	PPD and FSCD.
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Strengthen and establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The GRM shall entertain any complaints related to all the ESSs except ESS2, which requires a separate GRM for project workers.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish the grievance mechanism prior to Project activity commencement and thereafter maintain and operate the mechanism throughout Project implementation.</p>	PPD and FSCD
CAPACITY SUPPORT			
CS1	<p>Training and awareness creation shall be conducted for PIU staff, focal persons, stakeholders, farmers, pastoralists, scouts, Project workers on:</p> <ul style="list-style-type: none"> • OHS, community health and safety, emergency response, GBV, security response, and benefit-sharing. • Specific aspects of ESMF, FAO locust guideline 2&6, SA, SEP, GRM, LMP, GBV (SEA/SH) Action Plan, E&S screening and ESMP. 	<p>Throughout Project implementation.</p>	PPD and FSCD.

	<ul style="list-style-type: none"> • Capacity building for PIU staff on stakeholder mapping and engagement, specific requirements on the ESMF, IPMP, and WMP. • Training for farmers, pastoralists, scouts, experts and officials at different levels on locust infestation control management, and others using the FAO Desert Locust Control Training Manual. • Community awareness raising for clan and religious leaders, trainings on community health and safety, and the impact of pesticide spraying before, during and after the spraying. • Occupational health and safety requirements of the project for workers. • GBV and response mechanism for workers and communities. 		
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